

**Nicolas Keller, lic. iur. HSG  
(University of St. Gallen), LL.M.**

Attorney-at-Law and notary public, partner

Admission to the bar 2006

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**Education and training**

2005	Law degree from the University of St. Gallen (HSG)
2006	Admitted to the St. Gallen bar
2010	Master of Laws (LL.M.) at Columbia Law School, Columbia University, New York City, USA
2022	Certified Global Negotiator (CAS) from the Executive School of Management, Technology and Law of the University St. Gallen

**Legal activities**

Advises mainly domestic and foreign companies as well as individuals in contract, commercial and corporate as well as in banking and financial market law, with a focus on mergers and acquisitions, drawing up and negotiating cooperation contracts in finance and industry as well as legal advice to banks, investment funds and asset managers with respect to regulatory matters. As a notary public, notarisations and certifications. Authorisation as a representative at the SIX Swiss Exchange with regard to shares, bonds, investment funds and derivatives.

**Employment history**

1998 – 2004	Advisor to private and business customers at a major Swiss bank, internships in the legal departments of the asset management and the investment banking divisions of this bank
2007 – 2011	Employed as an Attorney-at-Law in a large business law firm in Zurich, focussing on banking and capital market law as well as commercial and corporate law; during this employment secondments to the legal departments of the fund management and asset management divisions of a major foreign bank in Zurich and to a globally active investment bank in London

Since Sept. 2011	Attorney-at-Law at schochauer, partner since July 2014
2017 – 2022	Teacher at the Zurich University of Applied Sciences (ZHAW) on contract law and drafting of contracts

#### **Languages**

German, English, French

#### **Publications**

Markus Pfenninger / Nicolas Keller, Hedge Fund Regulation in Switzerland and the EU, in: Thomas Reutter / Thomas Werlen (Hrsg.), Capital Market Transactions VI, Zurich, 2011.

Nicolas Keller, Art. 145 - 147 Federal Act on Collective Investment Schemes, Art. 85 Federal Act on Collective Investment Schemes, Art. 44 Mortgage Bond Act in: Willi Fischer / Thierry Luterbacher (Hrsg.), Commentary on the Swiss torts law provisions, Zurich, 2016.

Nicolas Keller / Romuald Maier, § 52 (Management Delegation) and § 53 (Management Organisation), in: Fabiana Theus Simoni / Isabel Hauser / Harald Bärtschi (Hrsg.), Handbook on Swiss limited liability companies, Basel, 2019.

Thomas Brauchli / Nicolas Keller, § 97 (Reasons for Liquidation and Liquidation), § 98 (Application and Registration), § 99 (Liquidation procedure) and § 100 (Deregistration), in: Fabiana Theus Simoni / Isabel Hauser / Harald Bärtschi (Hrsg.), Handbook on Swiss cooperative law, Basel 2022.

Nicolas Keller, §25a (Incorporation with Setoff), in: Fabiana Theus Simoni / Isabel Hauser / Harald Bärtschi (Hrsg.), Handbook on Swiss cooperative law, 2nd Edition, Basel 2022.

#### **Current board mandates**

**Cicor Technologies SA, Boudry (SIX: CICN)**  
Company secretary

**Clientis Bank Oberuzwil AG, Oberuzwil**  
Member of the board of directors

**Stadtpraxis für Frauen AG, St. Gallen**  
Member of the board of directors